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FUNCTION	ACTIVITY	SCOPE	SCOPE INCLUDES	DEFINITIONS	NOTES	NOTES	LEGAL BASIS FOR PROCESING (ARTICLE 6 OR ARTICLE 9)
RESEARCH		The function of undertaking academic research.	Depending on the discipline and on the nature of research, specific activities might also include: obtaining (explicit) consent from participants; and maintaining contact details of pool(s) of potential research candidates, who may be contacted asking whether they would be interested/willing to participate in research activities. GDPR also makes specific provision for research involving special categories of personal data (Article 9, paragraph 2 (j)). This requires that the safeguards laid out in Article 89(1) are in place and that there is also a basis to conduct research set out in UK or EU law.		This function is intended to cover the conduct of all academic research, whether its is funded by the institution itself or by external organisations, or by both. It is also intended to cover research undertaken in collaboration with other institutions.	The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Consent 1(a); Article 6, Public task/delegated authority 1(e) and for special category data Article 9, Explicit consent 1(b), or Article 9, Research in substantial public interest etc. 2(j) as appropriate. Article 6, Contract 1(b) as appropriate.
RESEARCH	Research Quality & Standards Management	The activities involved in managing the quality and standards of research undertaken in the institution.	Activities include: conducting internal reviews of research quality and standards; facilitating and participating in external reviews of research quality and standards (e.g. Research Assessment Exercise).			The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Consent 1(a); Article 6, Public task/delegated authority 1(e) and for special category data Article 9, Explicit consent 1(b), or Article 9, Research in substantial public interest etc. 2(j) as appropriate. Article 6, Contract 1(b) as appropriate for the processing of staff personal data allied with research.
RESEARCH	Research Business Development	The activities involved in promoting the institution's research capabilities and profile, and in developing new research opportunities.	Activities include: liaising with research sponsors to monitor their research policies and objectives, and to promote the institution's research capabilities, projects and achievements; identifying and developing new research opportunities; identifying and targeting research funding opportunities; identifying and developing opportunities for collaboration and partnership to undertake research.			The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.
RESEARCH	Research Design & Planning	The activities involved in designing and planning research projects.	Activities include: generating, capturing and developing ideas for research projects; defining research aims and objectives; defining research methods; defining project roles and responsibilities; securing necessary ethical reviews and regulatory approvals; determining requirements for project resources; preparing research proposals.			The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.
RESEARCH	Research Funding Administration	The activities involved in obtaining and administering research funding through grants and contracts, and in co-ordinating the institution's relationships with funders.	Activities include: preparing and submitting applications for funding; negotiating (where applicable) terms and conditions of funding; accepting/declining funding awards; administering funding in accordance with award terms and conditions (claiming payments from funders, re-allocating funds within budgets etc.); administering amendments to awards (e.g. supplements, extensions, early termination); submitting reports required by funders.	Will include the passing of personal data of researchers/research students to funders (performance, progress etc.) as per conditions of research grants.		The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.
RESEARCH	Research Conduct	The activities involved in conducting research.	Activities include: developing and establishing research protocols and procedures; obtaining approval for subsequent amendments to, or deviations from, protocols and procedures; carrying out research in accordance with project protocols and procedures, and with all legal and ethical requirements; identifying and reviewing issues and risks which arise in the course of research work, and taking appropriate action; obtaining approval for modifications to the design of research; managing research data.			The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Consent 1(a); Article 6, Public task/delegated authority 1(e) and for special category data Article 9, Explicit consent 1(b), or Article 9, Research in substantial public interest etc. 2(j) as appropriate. Article 6, Contract 1(b) as appropriate.

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RESEARCH	Research Reporting	The activities involved in disseminating research results.	Activities include: publishing research results; presenting research results at technical meetings.			Consent may be required of research participants to disseminate research results which would identify individuals. The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Consent 1(a) or Article 9, Explicit consent 1(b), and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.
RESEARCH	Research Project Management	The activities involved in managing the conduct of research projects from formal initiation (following receipt of funding) to formal completion.	Activities include: monitoring and tracking the progress of research; preparing reports for project stakeholders; arranging appropriate insurance; managing project resources and complying with institutional policies and procedures to protect project staff, participants and the environment; facilitating and assisting with monitoring activities and audits conducted by the institution, by external project sponsors/funders or by regulatory bodies; selecting research partners and subcontractors, and managing relationships with them; managing the process of offering research data to, and depositing it with, external research data archives, and ensuring future compliance with the terms and conditions of deposit.			Consent may be required of research participants to disseminate research results which would identify individuals. The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Consent 1(a); Article 6, Public task/delegated authority 1(e) and for special category data Article 9, Explicit consent 1(b), or Article 9, Research in substantial public interest etc. 2(j) as appropriate. Article 6, Contract 1(b) as appropriate.
RESEARCH PROGRAMME PROVISION		The function of delivering the institution's research programmes which lead to academic awards.			This function is intended to cover the delivery of all research programmes, regardless of the award they lead to and whether they are delivered through traditional or newer approaches. <i>Code of practice for the assurance of academic quality and standards in higher education, Section 1: Postgraduate research programmes, Quality Assurance Agency, 2004: 'PhD programmes (including the New Route PhD and PhDs awarded on the basis of published work); all forms of taught or professional doctorate; research master's degrees where the research component (including a requirement to produce original work), is larger than the taught component when measured by student effort.'</i>	The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Consent 1(a); Article 6, Public task/delegated authority 1(e) and for special category data Article 9, Explicit consent 1(b), or Article 9, Research in substantial public interest etc. 2(j) as appropriate. Article 6, Contract 1(b) as appropriate.
RESEARCH PROGRAMME PROVISION	Research Programme Review	The activities involved in reviewing the institution's research programmes.				The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.
RESEARCH PROGRAMME PROVISION	Research Programme Assessment (student)	The activities involved in conducting formal assessments of students undertaking research programmes.				The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.

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RESEARCH PROGRAMME PROVISION	Research Programme Assessment (staff)	The activities involved in conducting formal assessments of students undertaking research programmes e.g. Research Assessment Exercise.					The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.
RESEARCH PROGRAMME PROVISION	Research Supervisor Appointment & Training	The activities involved in appointing research supervisors and in providing training for them.					The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.
RESEARCH PROGRAMME PROVISION	Research Student Monitoring & Support	The activities involved in monitoring, reviewing and supporting research students' progress.	Activities include: providing support and guidance to research students on subject selection; providing feedback to students on their progress; conducting formal reviews of student progress; providing students with general academic advice and guidance; providing students with opportunities to develop their research and other skills; providing advice and guidance to students whose progress is unsatisfactory or who are considering suspending or terminating their studies.				The relevant (enacting) legislation for the performance of a task/exercise of official authority being the Universities (Scotland) Act 1889 c. 55, section 7 (Powers of Senatus Academicus), i.e. "To regulate and superintend the teaching and discipline of the University and to promote research." The Words "promote research" inserted by Universities (Scotland) Act 1966 s. 8 (1).	Article 6, Contract 1(b) and/or Article 6, Processing is necessary for the performance of a task... in the exercise of official authority vested in the controller 1(e), as appropriate.